# Natural Language Processing from a Machine Learning Perspective

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# What is Natural Language Processing?

- Natural Language Processing (NLP) develops methods for transforming or extracting information from text or speech
- Classic examples of NLP:
  - machine translation
  - speech recognition (automatic transcription)
  - summarisation (single document or multi-document)
  - human-computer interaction (e.g., question-answering)

#### A short history of Natural Language Processing

- Machine translation started with the first computers in the 1950s
  - deeply influenced by the Chomskyian cognitive revolution
- Until the 1990s NLP centred around:
  - implementing linguistic theories of syntax
  - with parsers based on symbolic AI theorem-proving methods
- The *statistical revolution* started in speech recognition
  - Hidden Markov Models worked better than rule-based systems
  - ► in general, probabilistic approaches work better than rule-based ones
- We are at the start of a new deep learning neural network revolution

#### Outline

#### Brief review of machine learning

Document classification and sentiment analysis

Named entity recognition and linking

Syntactic parsing and relation extraction

Topic modeling

Deep learning and seq2seq models

Conclusions and future directions

#### Prediction vs. causation

- Classical statistics focuses on discovering causal relationships
  - E.g., does coffee cause lung cancer?
  - ▶ it's hard to identify causal dependencies between more than ≈ 10 variables
- Machine learning and data mining focus on *prediction* 
  - ► E.g., how many people are likely to get lung cancer?
  - variables can have predictive value even if the causal dependencies aren't clear
  - ▶ E.g., maybe smoke in coffee-houses is to blame?
  - ⇒ can learn predictive models with millions of variables

#### Supervised vs. unsupervised learning

- Prediction problems use data D to predict the value of a variable y from other variables x
  - $\triangleright$  E.g., x = a patient's medical test results today
  - y = whether they have lung cancer 5 years from now
  - ► D = other patients' medical results from 5 years ago, and their current lung cancer status
- In *supervised learning* the data *D* contains the variable *y* we want to predict
- In *unsupervised learning* the data *D* does not contain the variable *y* we want to predict
- There is a continuum between supervised and unsupervised learning, including:
  - semi-supervised learning: only some of the data is labeled
  - distant supervision: D is labeled with a variable related to y
  - ► domain adaptation: D comes from a different population

# A typology of machine learning problems

- The nature of the predicted or dependent variable *y* determines the kind of problem and algorithm involved
  - ▶ y can be categorical or discrete, e.g., is the patient alive?
  - ▶ y can be continuous, e.g., what is the patient's lung capacity?
- Mapping problems to algorithms:

	discrete y	continuous y
supervised data	classification	regression
unsupervised data	clustering	dimensionality reduction

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# Document classification and bag-of-words features

- In *document classification*, *x* is a document (e.g., a news story) and *y* is e.g., *sports/finance/current affairs*
- A good baseline model treats x as an unordered bag of words, i.e., a vector with a dimension for each word in the vocabulary A man who allegedly tried to run over a police officer before speeding off has been arrested at a Melbourne police station after turning up in a stolen car carrying guns and drugs.

$$\begin{bmatrix} 3 \\ a \end{bmatrix}$$
,  $\begin{bmatrix} 1 \\ \text{man} \end{bmatrix}$ ,  $\begin{bmatrix} 0 \\ \text{woman allegedly alleged} \end{bmatrix}$ ,  $\begin{bmatrix} 0 \\ \text{try} \end{bmatrix}$ ,  $\begin{bmatrix} 1 \\ \text{tried} \end{bmatrix}$ 

Standard regression and classification algorithms (e.g., SVMs)
work well with bag-of-words representations, so long as they use
sparse vector techniques to handle the large number of features
(vocabulary size > 10,000)

# Sentiment analysis and opinion mining

- Sentiment analysis and opinion mining is a commercially-important application of document classification
  - typical application: social media posts
- Usually consists of two classifiers:
  - Classifier 1 classifies documents as objective/sentimental
  - Classifier 2 classifies documents as +/- sentiment
- Bag-of-words representation works well for sentiment analysis of restaurant reviews, but badly for movie reviews
  - ► E.g., I liked the start of the movie, but towards the middle I started to get bored . . .
  - modeling syntactic and discourse structure greatly improves sentiment analysis of movie reviews
- Aspect-based sentiment analysis associates sentiment with entities mentioned in the document

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#### Named entity recognition and linking

• Named entity recognition finds all "mentions" referring to an entity in a document

Malcolm Turnbull bought 300 shares in Acme Corp in 2006 person number corporation date

 Noun phrase coreference tracks mentions to entities within or across documents

Example: Malcolm Turnbull met the president of Indonesia yesterday. Mr. Turnbull told him that he . . .

Entity linking maps entities to database entries

#### Sequence labelling problems

- A sequence labelling problem is one where:
  - the input consists of a sequence  $X = (X_1, ..., X_n)$ , and
  - the output consists of a sequence  $Y = (Y_1, ..., Y_n)$  of labels, where:
  - $Y_i$  is the label for element  $X_i$
- Example: Part-of-speech tagging

$$\left(egin{array}{c} oldsymbol{Y} \ oldsymbol{X} \end{array}
ight) = \left(egin{array}{c} {\sf Verb}, & {\sf Determiner}, & {\sf Noun} \ {\sf spread}, & {\sf the}, & {\sf butter} \end{array}
ight)$$

Example: Spelling correction

$$\left( egin{array}{c} oldsymbol{Y} \ oldsymbol{X} \end{array} 
ight) = \left( egin{array}{c} \mathsf{write, a, book} \ \mathsf{rite, a, buk} \end{array} 
ight)$$

#### Named entity extraction as sequence labelling

• NER can be formulated as a sequence labelling problem by using the *Inside-Outside-Begin* (IOB) labelling scheme

```
B-ORG I-ORG O O O B-LOC I-LOC O Macquarie University is located in New South Wales .
```

The IOB labelling scheme can distinguish adjacent named entities

```
B-LOC I-LOC I-LOC B-LOC O B-LOC O

New South Wales Northern Territory and Queensland are
```

# Other applications of sequence labelling

- Speech recognition is a sequence labelling task:
  - ► The input  $X = (X_1, ..., X_n)$  is a sequence of *acoustic* frames  $X_i$ , where  $X_i$  is a set of features extracted from a 50msec window of the speech signal
  - ► The output **Y** is a sequence of words (the transcript of the speech signal)
- Financial applications of sequence labelling
  - identifying trends in price movements
- Biological applications of sequence labelling
  - gene-finding in DNA or RNA sequences

# A first (bad) approach to sequence labelling

• Idea: train a supervised classifier to *predict entire label sequence* at once

- Problem: the number of possible label sequences grows exponentially with the length of the sequence
  - with *binary labels*, there are  $2^n$  different label sequences of a sequence of length n ( $2^{32} = 4$  billion)
- ⇒ most labels won't be observed even in very large training data sets
  - This approach fails because it has massive sparse data problems

#### A better approach to sequence labelling

• Idea: train a supervised classifier to *predict the label of one word* at a time (slide a "moving window" over the text)

```
B-LOC I-LOC O O O O B-LOC O Western Australia is the largest state in Australia .
```

- Avoids sparse data problems in label space
- As well as current word, classifiers can use previous and following words as features
- But this approach can produce inconsistent label sequences

```
O B-LOC I-ORG I-ORG O O O O The New York Times is a newspaper .
```

- ⇒ Track dependencies between adjacent labels
  - "chicken-and-egg" problem that *Hidden Markov Models* and *Conditional Random Fields* solve!

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#### Relation extraction

• Relation extraction mines texts to find relationships between named entities, i.e., "who did what to whom (when)?"

The new Governor General, Peter Cosgrove, visited Buckingham Palace yesterday.

#### Has-role

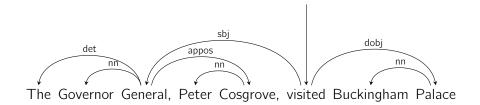
Person	Role	
Peter Cosgrove	Governor General of Australia	

#### Offical-visit

Visitor	Organisation	
Peter Cosgrove	Queen of England	

• Text-mining bio-medical literature is a major application

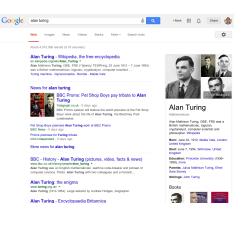
#### Syntactic parsing for relation extraction



• The *syntactic path* in a *dependency parse* is a useful feature in relation extraction

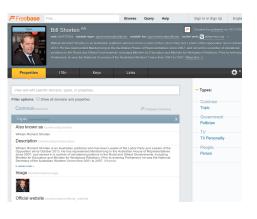
$$X \xrightarrow{\text{appos}} Y \Rightarrow has\text{-role}(Y, X)$$
  
 $X \xleftarrow{\text{sbj}} visited \xrightarrow{\text{dobj}} Y \Rightarrow official\text{-}visit}(X, Y)$ 

# Google's Knowledge Graph



- Goal: move beyond keyword search document retrieval to directly answer user queries
  - ⇒ easier for mobile device users
- Google's Knowledge Graph:
  - built on top of FreeBase
  - entries are synthesised from Wikipedia, news stories, etc.
  - manually curated (?)

# FreeBase: an open knowledge base



- An entity-relationship database on top of a graph triple store
- Data mined from Wikipedia, ChefMoz, NNDB, FMD, MusicBrainz, etc.
- 44 million topics (entities),2 billion facts,32GB compressed dump
- Created by Metaweb, which was acquired by Google

#### Distant supervision for relation extraction

- Ideal labelled data for relation extraction: large text corpus annotated with entities and relations
  - expensive to produce, especially for a lot of relations!
- Distant supervision assumption: if two or more entities that appear in the same sentence also appear in the same database relation, then probably the sentence expresses the relation
  - assumes entity tuples are sparse
- With the distant supervision assumption, we obtain relation extraction training data by:
  - ▶ taking a large text corpus (e.g., 10 years of news articles)
  - running a named entity linker on the corpus
  - looking up the entity tuples that appear in the same sentence in the large knowledge base (e.g., FreeBase)

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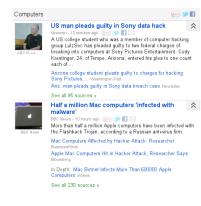
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#### Topic modelling

- Topic models cluster words and documents into topics
  - unsupervised (i.e., topics aren't given in training data)
- Important for document analysis and information extraction
  - Example: clustering news stories for information retrieval
  - Example: tracking evolution of a research topic over time



#### Mixture versus admixture topic models

- In a mixture model, each document has a single topic
  - ▶ all words in the document come from this topic
- In admixture models, each document has a distribution over topics
  - a single document can have multiple topics (number of topics in a document controlled by prior)
  - ⇒ can capture more complex relationships between documents than a mixture model
- Both mixture and admixture topic models typically use a "bag of words" representation of a document

#### Example: documents from NIPS corpus

Annotating an unlabeled dataset is one of the bottlenecks in using supervised learning to build good predictive models. Getting a dataset labeled by experts can be expensive and time consuming. With the advent of crowdsourcing services ...

The task of recovering intrinsic images is to separate a given input image into its material-dependent properties, known as reflectance or albedo, and its light-dependent properties, such as shading, shadows, specular highlights, ...

In each trial of a standard visual short-term memory experiment, subjects are first presented with a display containing multiple items with simple features (e.g. colored squares) for a brief duration and then, after a delay interval, their memory for . . .

Many studies have uncovered evidence that visual cortex contains specialized regions involved in processing faces but not other object classes. Recent electrophysiology studies of cells in several of these specialized regions revealed that at least some . . .

# Example (cont): ignore function words

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Many studies have uncovered evidence that visual cortex contains specialized regions involved in processing faces but not other object classes. Recent electrophysiology studies of cells in several of these specialized regions revealed that at least some . . .

# Example (cont): mixture topic model

```
Annotating an unlabeled dataset is one of the bottlenecks in using supervised learning to build good predictive models. Getting a dataset labeled by experts can be expensive and time consuming. With the advent of crowdsourcing services ....
```

The task of recovering intrinsic images is to separate a given input image into its material-dependent properties, known as reflectance or albedo, and its light-dependent properties, such as shading, shadows, specular highlights, ...

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Many studies have uncovered evidence that visual cortex contains specialized regions involved in processing faces but not other object classes. Recent electrophysiology studies of cells in several of these specialized regions revealed that at least some . . .

# Example (cont): admixture topic model

```
Annotating an unlabeled dataset is one of the bottlenecks in using supervised
learning to build good predictive models. Getting a dataset labeled by experts can
be expensive and time consuming. With the advent of crowdsourcing services ...
The task of recovering intrinsic images is to separate a given input image into its
material-dependent properties, known as reflectance or albedo, and its
light-dependent properties, such as shading, shadows, specular highlights, ...
In each trial of a standard visual short-term memory experiment, subjects are first
presented with a display containing multiple items with simple features (e.g.
colored squares) for a brief duration and then, after a delay interval, their memory
for ...
```

Many studies have uncovered evidence that visual cortex contains specialized regions involved in processing faces but not other object classes. Recent electrophysiology studies of cells in several of these specialized regions revealed that at least some ....

#### Our innovation: Collocation topic models

```
Annotating an unlabeled dataset is one of the bottlenecks in using supervised learning to build good predictive models. Getting a dataset labeled by experts can be expensive and time consuming. With the advent of crowdsourcing services ....
```

```
The <mark>task</mark> of recovering intrinsic images is to <mark>separate</mark> a given input image into its material-dependent properties, known as reflectance or albedo, and its light-dependent properties, such as shading, shadows, specular highlights, ...
```

```
In each trial of a standard visual short-term memory experiment, subjects are first presented with a display containing multiple items with simple features (e.g. colored squares) for a brief duration and then, after a delay interval, their memory for ...
```

```
Many studies have uncovered evidence that visual cortex contains specialized regions involved in processing faces but not other object classes.

Recent electrophysiology studies of cells in several of these specialized regions revealed that at least some . . .
```

#### Our other work on topic models

- Segment documents into topically-coherent parts: find major topic shifts in an unsegmented document (e.g., speech recogniser output)
- Integrate topic modelling with other information: improve topic model accuracy by using additional information, e.g., social follower information, sentiment, etc.

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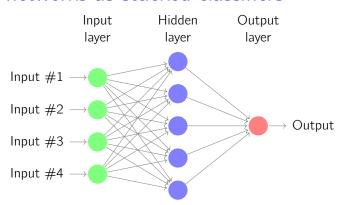
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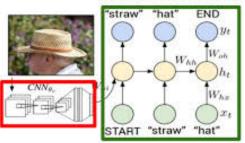
#### Neural networks as stacked classifiers



- In a neural network, each hidden layer and output layer node is a separate regression model
- Deep networks are neural networks with a large number of hidden layers
- With enough training data and computation power, deep networks usually outperform shallow networks and classifiers 34/40

#### Image caption generation

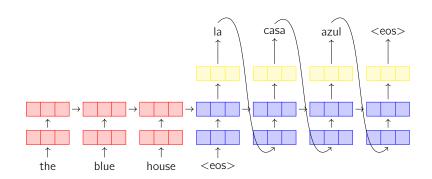
#### **Recurrent Neural Network**



#### Convolutional Neural Network

- Convolutional Neural Network (CNN) maps image to a hidden representation
- Recurrent Neural Network (RNN) maps hidden representation to image caption

#### Seq2seq models for machine translation



- Seq2seq models originally developed for machine translation
  - Not possible with pre-deep learning machine learning
- This architecture been adapted for automatic summarisation and semantic parsing

#### Semantic parsing

#### Most recent papers of Michael I. Jordan

```
SELECT paper.paperId, paper.year
FROM paper, writes, author
WHERE paper.paperId = writes.paperId
AND writes.authorId = author.authorId
AND author.authorName = "michael i. jordan"
AND paper.year =
(SELECT max(paper.year)
FROM paper, writes, author
WHERE paper.paperId = writes.paperId
AND writes.authorId = author.authorId
AND author.authorName = "michael i. jordan");
```

#### I'd like to book a flight from San Diego to Toronto

```
SELECT DISTINCT f1.flight_id
FROM flight f1, airport_service a1, city c1,
airport_service a2, city c2
WHERE f1.from_airport = a1.airport_code
AND a1.city_code = c1.city_code
AND c1.city_name = 'san diego'
AND f1.to_airport = a2.airport_code
AND a2.city_code = c2.city_code
AND c2.city_name = 'toronto';
```

- Traditional parsing algorithms are specialised for the required output representation
- Seq2seq models learn the output representation as well as the mapping from language
- ⇒ Much easier to produce domain-specific representations
  - SQL semantic parsing example from lyer et al (2017) "Learning a Neural Semantic Parser from User Feedback"

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#### Overview and summary

- Current NLP technology does not understand language the way people do, but it can work fairly well
- Simple "bag of words" methods are often surprisingly effective on some document genres
  - document classification accuracy varies depending on genre and information you want to extract (70% to 90% is typical)
  - topic models are an unsupervised approach that clusters words and documents
- Sequence models and syntactic parsing models identify relationships between words
  - important for identifying who did what to whom?

#### Directions for future work

- The probabilistic models and statistical methods underlying NLP are the same as those used in data analytics
- ⇒ Combine data analytics of structured data with text data mining of unstructured data
  - E.g., structured data: medical test results, purchase history, etc.
    - unstructured data: medical records, social media posts, etc.
  - The techniques that find named entities in texts should be able to mine numerical quantities, dates, currency amounts, etc., in unstructured text
    - integrating these in a joint model should improve text data mining and data analytics
  - Deep learning allows us to build new kinds of models, such as seq2seq models