# Bayesian models of language acquisition, or Where do the rules come from?

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June 2011



#### The drunk under the lamppost

Late one night, a drunk guy is crawling around under a lamppost. A cop comes up and asks him what he's doing.

"I'm looking for my keys," the drunk says. "I lost them about three blocks away."

"So why aren't you looking for them where you dropped them?" the cop asks.

The drunk looks at the cop, amazed that he'd ask so obvious a question. "Because the light is so much better here."



## Language acquisition as Bayesian inference



- Likelihood measures how well grammar describes data
- Prior expresses knowledge of grammar before data is seen
  - can be very specific (e.g., Universal Grammar)
  - can be very general (e.g., prefer shorter grammars)
- Prior can also express markedness preferences ("soft universals")
- Posterior is a *product* of both likelihood and prior
  - ▶ a grammar must do well on both to have high posterior probability
- Posterior is a *distribution* over grammars
  - captures *learner's uncertainty* about which grammar is correct



## Outline

#### Learning Probabilistic Context-Free Grammars

- Chinese Restaurant Processes
- Adaptor grammars
- Adaptor grammars for unsupervised word segmentation
- Synergies in learning syllables and words
- Adaptor grammars for Sesotho morphology
- Topic models and learning the referents of words
- Learning collocations in LDA topic models
- Bayesian inference for adaptor grammars
- Conclusion



- Probabilistic context-free grammars (PCFGs) define *probability distributions over trees*
- Each nonterminal node expands by
  - choosing a rule expanding that nonterminal, and
  - recursively expanding any nonterminal children it contains
- Probability of tree is *product of probabilities of rules* used to construct it

Probability $\theta_r$	Rule r	S	
1	$S\toNP\;VP$		
0.7	$NP \to \mathit{Sam}$		
0.3	$NP  o \mathit{Sandy}$		
1	$VP\toV\;NP$		
0.8	$V  ightarrow \mathit{likes}$		
0.2	V  ightarrow hates		



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 $P(\text{Tree}) = 1 \times 0.7 \times 1 \times 0.8 \times 0.3$ 

### Parametric and non-parametric learners

- Standard algorithms for learning PCFGs from strings alone are *parametric learners* 
  - input: a corpus of strings and a set of rules
  - output: probabilities for the rules
- Can we learn the rules as well?
- Parametric learners optimise a predetermined finite vector of parameters
- *Non-parametric learners* can't be viewed as optimising a finite parameter vector
- Learning the rules as non-parametric learning:
  - infinite list enumerating all possible CF rules
  - learner has to choose the right subset of rules, as well as their probabilities



## Plan for rest of talk

- Learning structure is hard!
  - Bayesian PCFG estimation works well on toy data, but
  - results are disappointing on real data
- Strategy: study simpler cases
  - morphological segmentation (e.g., walking = walk+ing)
  - segmenting utterances into words, i.e., learning word pronunciations
  - learning the relationship between words and the objects they refer to
- (Even hard-core rationalists agree these are learned)



## A CFG for stem-suffix morphology

 $\begin{array}{rrrr} \text{Word} & \to & \text{Stem Suffix} \\ \text{Stem} & \to & \text{Chars} \\ \text{Suffix} & \to & \text{Chars} \end{array}$ 



- Grammar's trees can represent any segmentation of words into stems and suffixes
- ⇒ Can *represent* true segmentation
  - But grammar's *units of generalization (PCFG rules) are "too small"* to learn morphemes



### A "CFG" with one rule per possible morpheme



- A rule for each morpheme
  - $\Rightarrow$  "PCFG" can represent probability of each morpheme
- Unbounded number of possible rules, so this is not a PCFG
  - not a practical problem, as only a finite set of rules could possibly be used in any particular data set



#### Maximum likelihood estimate for $\theta$ is trivial

- Maximum likelihood selects  $\theta$  that minimizes KL-divergence between model and training data **W** distributions
- *Saturated model* in which each word is generated by its own rule replicates training data distribution **W** exactly
- $\Rightarrow$  Saturated model is maximum likelihood estimate
  - Maximum likelihood estimate does not find any suffixes





### Forcing generalization via sparse priors

- Idea: use Bayesian prior that prefers fewer rules
- Set of rules is fixed in standard PCFG estimation, but can "turn rule off" by setting  $\theta_{A \rightarrow \beta} \approx 0$

• Dirichlet prior with  $\alpha_{A \rightarrow \beta} \approx 0$  prefers  $\theta_{A \rightarrow \beta} \approx 0$ 





## Morphological segmentation experiment

- Trained on orthographic verbs from U Penn. Wall Street Journal treebank
- Uniform Dirichlet prior prefers sparse solutions as  $\alpha \to 0$
- Gibbs sampler samples from posterior distribution of parses
  - reanalyses each word based on parses of the other words



50			100 101			
	$lpha=10^{-5}$		lpha= 10 <sup>-10</sup>		$lpha=10^{-15}$	
	expect		expect		expect	
	expects		expects		expects	
	expected		expected		expected	
	expect	ing	expect	ing	expect	ing
	include		include		include	
	includes		includ	es	includ	es
	included		includ	ed	includ	ed
	including		including		including	
	add		add		add	
	adds		adds		add	S
	added		add	ed	added	
	adding		add	ing	add	ing
	continue		continue		continue	
	continues		continue	S	continue	S
	continued		continu	ed	continu	ed
	continuing		continu	ing	continu	ing
	report		report		report	
		$\alpha = 10^{-1}$ expect expects expected expect include includes included including add adds added adding continue continues continued report	$\alpha = 10^{-5}$ expect expects expected expect ing include includes included including add adds adds added adding continue continues continued continuing report	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $	$\begin{array}{c c c c c c c c c c c c c c c c c c c $

#### Posterior samples from WSJ verb tokens

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#### Log posterior for models on token data



Dirichlet prior parameter  $\alpha$ 

Correct solution is nowhere near as likely as posterior
 model is wrong!



#### Relative frequencies of inflected verb forms





## Types and tokens

- A word *type* is a distinct word shape
- A word token is an occurrence of a word

Data = "the cat chased the other cat" Tokens = "the", "cat", "chased", "the", "other", "cat" Types = "the", "cat", "chased", "other"

- Estimating  $\theta$  from *word types* rather than word tokens eliminates (most) frequency variation
  - ► 4 common verb suffixes, so when estimating from verb types  $\theta_{\text{Suffix} \rightarrow \text{i n g } \#} \approx 0.25$
- Several psycholinguists believe that humans learn morphology from word types
- Adaptor grammar mimics Goldwater et al "Interpolating between Types and Tokens" morphology-learning model



## Posterior samples from WSJ verb *types*

$\alpha = 0.1$		$\alpha = 10^{-1}$	$\alpha = 10^{-5}$   $\alpha =$		lpha= 10 <sup>-10</sup>		$0^{-10}$   $\alpha = 10^{-15}$		-15
expect		expect		expect		exp	ect		
expects		expect	S	expect	S	exp	ects		
expected		expect	ed	expect	ed	exp	ected		
expect	ing	expect	ing	expect	ing	exp	ecting		
include		includ	е	includ	е	includ	е		
include	S	includ	es	includ	es	includ	es		
included		includ	ed	includ	ed	includ	ed		
including		includ	ing	includ	ing	includ	ing		
add		add		add		add			
adds		add	S	add	S	add	S		
add	ed	add	ed	add	ed	add	ed		
adding		add	ing	add	ing	add	ing		
continue		continu	е	continu	е	continu	е		
continue	S	continu	es	continu	es	continu	es		
continu	ed	continu	ed	continu	ed	continu	ed		
continuing		continu	ing	continu	ing	continu	ing		
		report		repo	rt	rep	ort 17		

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### Log posterior of models on type data



Dirichlet prior parameter  $\alpha$ 

• Correct solution is close to optimal at  $\alpha = 10^{-3}$ 



#### Desiderata for an extension of PCFGs

- PCFG *rules are "too small"* to be effective units of generalization
   ⇒ generalize over groups of rules
   ⇒ units of generalization should be chosen based on data
- Type-based inference mitigates over-dispersion
   ⇒ Hierarchical Bayesian model where:
  - - context-free rules generate types
    - another process replicates types to produce tokens
- Adaptor grammars:
  - learn probability of entire subtrees (how a nonterminal expands to terminals)
  - use grammatical hierarchy to define a Bayesian hierarchy, from which type-based inference naturally emerges



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### Bayesian inference for Dirichlet-multinomials

Probability of next event with *uniform Dirichlet prior* with mass α over *m* outcomes and observed data Z<sub>1:n</sub> = (Z<sub>1</sub>,..., Z<sub>n</sub>)

$$P(Z_{n+1} = k \mid \mathbf{Z}_{1:n}, \alpha) \propto n_k(\mathbf{Z}_{1:n}) + \alpha/m$$

where  $n_k(\mathbf{Z}_{1:n})$  is number of times k appears in  $\mathbf{Z}_{1:n}$ 

• Example: Coin (m = 2),  $\alpha = 1$ ,  $\mathbf{Z}_{1:2} = (heads, heads)$ 

• 
$$P(Z_3 = heads \mid \mathbf{Z}_{1:2}, \alpha) \propto 2.5$$

• 
$$P(Z_3 = tails | \mathbf{Z}_{1:2}, \alpha) \propto 0.5$$



## Dirichlet-multinomials with many outcomes

• Predictive probability:



$$P(Z_{n+1} = k \mid \mathbf{Z}_{1:n}, \alpha) \propto n_k(\mathbf{Z}_{1:n}) + \alpha/m$$

• Suppose the number of outcomes  $m \gg n$ . Then:

$$P(Z_{n+1} = k \mid \mathbf{Z}_{1:n}, \alpha) \propto \begin{cases} n_k(\mathbf{Z}_{1:n}) & \text{if } n_k(\mathbf{Z}_{1:n}) > 0 \\ \\ \alpha/m & \text{if } n_k(\mathbf{Z}_{1:n}) = 0 \end{cases}$$

• But most outcomes will be unobserved, so:

$$P(Z_{n+1} \notin Z_{1:n} | Z_{1:n}, \alpha) \propto \alpha$$



From Dirichlet-multinomials to Chinese Restaurant Processes





- Suppose *number of outcomes is unbounded* but *we* pick the event labels
- If we number event types in order of occurrence ⇒ Chinese Restaurant Process

$$Z_1 = 1$$
  

$$P(Z_{n+1} = k \mid \mathbf{Z}_{1:n}, \alpha) \propto \begin{cases} n_k(\mathbf{Z}_{1:n}) & \text{if } k \le m = \max(\mathbf{Z}_{1:n}) \\ \alpha & \text{if } k = m+1 \end{cases}$$



## Chinese Restaurant Process (0)



- Customer  $\rightarrow$  table mapping  ${f Z}=$
- P(z) = 1
- Next customer chooses a table according to:

$$P(Z_{n+1} = k \mid \mathbf{Z}_{1:n}) \propto \begin{cases} n_k(\mathbf{Z}_{1:n}) & \text{if } k \le m = \max(\mathbf{Z}_{1:n}) \\ \alpha & \text{if } k = m+1 \end{cases}$$



## Chinese Restaurant Process (1)



- $\bullet\,$  Customer  $\rightarrow$  table mapping Z=1
- $P(z) = \alpha/\alpha$
- Next customer chooses a table according to:

$$P(Z_{n+1} = k \mid \mathbf{Z}_{1:n}) \propto \begin{cases} n_k(\mathbf{Z}_{1:n}) & \text{if } k \le m = \max(\mathbf{Z}_{1:n}) \\ \alpha & \text{if } k = m+1 \end{cases}$$



Chinese Restaurant Process (2)



- Customer  $\rightarrow$  table mapping  $\mathbf{Z} = 1, 1$
- $P(z) = \alpha/\alpha \times 1/(1+\alpha)$
- Next customer chooses a table according to:

$$P(Z_{n+1} = k \mid \mathbf{Z}_{1:n}) \propto \begin{cases} n_k(\mathbf{Z}_{1:n}) & \text{if } k \le m = \max(\mathbf{Z}_{1:n}) \\ \alpha & \text{if } k = m+1 \end{cases}$$



## Chinese Restaurant Process (3)



- Customer  $\rightarrow$  table mapping  $\mathbf{Z} = 1, 1, 2$
- $P(z) = \alpha/\alpha \times 1/(1+\alpha) \times \alpha/(2+\alpha)$
- Next customer chooses a table according to:

$$P(Z_{n+1} = k \mid \mathbf{Z}_{1:n}) \propto \begin{cases} n_k(\mathbf{Z}_{1:n}) & \text{if } k \le m = \max(\mathbf{Z}_{1:n}) \\ \alpha & \text{if } k = m+1 \end{cases}$$



## Chinese Restaurant Process (4)



- Customer  $\rightarrow$  table mapping  $\mathbf{Z} = 1, 1, 2, 1$
- $P(z) = \alpha/\alpha \times 1/(1+\alpha) \times \alpha/(2+\alpha) \times 2/(3+\alpha)$
- Next customer chooses a table according to:

$$P(Z_{n+1} = k \mid \mathbf{Z}_{1:n}) \propto \begin{cases} n_k(\mathbf{Z}_{1:n}) & \text{if } k \le m = \max(\mathbf{Z}_{1:n}) \\ \alpha & \text{if } k = m+1 \end{cases}$$



## Labeled Chinese Restaurant Process (0)



- Table  $\rightarrow$  label mapping  $\mathbf{Y} =$
- Customer  $\rightarrow$  table mapping  $\mathbf{Z} =$
- Output sequence  $\mathbf{X} =$
- P(**X**) = 1
- Base distribution  $P_0(Y)$  generates a label  $Y_k$  for each table k
- All customers sitting at table k (i.e.,  $Z_i = k$ ) share label  $Y_k$
- Customer *i* sitting at table  $Z_i$  has label  $X_i = Y_{Z_i}$



### Labeled Chinese Restaurant Process (1)



- Table  $\rightarrow$  label mapping  $\mathbf{Y} = \mathsf{fish}$
- Customer  $\rightarrow$  table mapping  $\mathbf{Z} = 1$
- Output sequence  $\mathbf{X} = \mathsf{fish}$
- $P(\mathbf{X}) = \alpha / \alpha \times P_0(fish)$
- Base distribution  $P_0(Y)$  generates a label  $Y_k$  for each table k
- All customers sitting at table k (i.e.,  $Z_i = k$ ) share label  $Y_k$
- Customer *i* sitting at table  $Z_i$  has label  $X_i = Y_{Z_i}$

#### Labeled Chinese Restaurant Process (2)



- Table  $\rightarrow$  label mapping  $\mathbf{Y} = \mathsf{fish}$
- Customer  $\rightarrow$  table mapping  $\mathbf{Z} = 1, 1$
- Output sequence  $\mathbf{X} = \mathsf{fish},\mathsf{fish}$
- $P(X) = P_0(fish) \times 1/(1 + \alpha)$
- Base distribution  $P_0(Y)$  generates a label  $Y_k$  for each table k
- All customers sitting at table k (i.e.,  $Z_i = k$ ) share label  $Y_k$
- Customer *i* sitting at table  $Z_i$  has label  $X_i = Y_{Z_i}$
#### Labeled Chinese Restaurant Process (3)



- Table  $\rightarrow$  label mapping  $\mathbf{Y} = \mathsf{fish},\mathsf{apple}$
- Customer  $\rightarrow$  table mapping  $\mathbf{Z} = 1, 1, 2$
- Output sequence  $\mathbf{X} = fish, fish, apple$
- $P(\mathbf{X}) = P_0(fish) \times 1/(1 + \alpha) \times \alpha/(2 + \alpha)P_0(apple)$
- Base distribution  $P_0(Y)$  generates a label  $Y_k$  for each table k
- All customers sitting at table k (i.e.,  $Z_i = k$ ) share label  $Y_k$
- Customer *i* sitting at table  $Z_i$  has label  $X_i = Y_{Z_i}$

#### Labeled Chinese Restaurant Process (4)



- Table  $\rightarrow$  label mapping **Y** = fish,apple
- Customer  $\rightarrow$  table mapping  $\mathbf{Z} = 1, 1, 2$
- Output sequence  $\mathbf{X} = \mathsf{fish},\mathsf{fish},\mathsf{apple},\mathsf{fish}$
- $P(X) = P_0(fish) \times 1/(1 + \alpha) \times \alpha/(2 + \alpha)P_0(apple) \times 2/(3 + \alpha)$
- Base distribution  $P_0(Y)$  generates a label  $Y_k$  for each table k
- All customers sitting at table k (i.e.,  $Z_i = k$ ) share label  $Y_k$
- Customer *i* sitting at table  $Z_i$  has label  $X_i = Y_{Z_i}$

### Summary: Chinese Restaurant Processes

- Chinese Restaurant Processes (CRPs) generalise Dirichlet-Multinomials to an *unbounded number of outcomes* 
  - concentration parameter  $\alpha$  controls how likely a new outcome is
  - CRPs exhibit a rich get richer power-law behaviour
- *Pitman-Yor Processes* (PYPs) generalise CRPs with an additional concentration parameter
  - this parameter specifies the asymptotic power-law behaviour
- Labeled CRPs use a base distribution to define distributions over arbitrary objects
  - base distribution "labels the tables"
  - base distribution can have infinite support
  - concentrates mass on a countable subset
  - ▶ power-law behaviour ⇒ Zipfian distributions



### Nonparametric extensions of PCFGs

- Chinese restaurant processes are a nonparametric extension of Dirichlet-multinomials because the number of states (occupied tables) depends on the data
- Two obvious nonparametric extensions of PCFGs:
  - let the number of nonterminals grow unboundedly
    - split the nonterminals of a base grammar
      - e.g.,  $S_{35}~\rightarrow~NP_{27}~VP_{17}$
    - $\Rightarrow$  infinite PCFG (Finkel et al 2007, Liang et al 2007)
  - Iet the number of rules grow unboundedly
    - "new" rules are compositions of several rules from base grammar
    - equivalent to caching tree fragments
    - $\Rightarrow$  Adaptor grammars
- No reason both can't be done together ...



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## Adaptor grammars: informal description

- The trees generated by an adaptor grammar are defined by CFG rules as in a CFG
- A subset of the nonterminals are *adapted*
- Unadapted nonterminals expand by picking a rule and recursively expanding its children, as in a PCFG
- Adapted nonterminals can expand in two ways:
  - by picking a rule and recursively expanding its children, or
  - by generating a previously generated tree (with probability proportional to the number of times previously generated)
- Implemented by having a CRP for each adapted nonterminal
- The CFG rules of the adapted nonterminals determine the *base distributions* of these CRPs



# From PCFGs to Adaptor grammars

- An adaptor grammar is a PCFG where a subset of the nonterminals are *adapted*
- Adaptor grammar generative process:
  - ▶ to expand an *unadapted nonterminal B*: (just as in PCFG)
    - select a *rule*  $B \to \beta \in R$  with prob.  $\theta_{B \to \beta}$ , and recursively expand nonterminals in  $\beta$
  - to expand an adapted nonterminal B:
    - select a *previously generated subtree*  $T_B$  with prob.  $\propto$  number of times  $T_B$  was generated, or
    - select a *rule*  $B \rightarrow \beta \in R$  with prob.  $\propto \alpha_B \theta_{B \rightarrow \beta}$ , and recursively expand nonterminals in  $\beta$



































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# Adaptor grammars as generative processes

- The sequence of trees generated by an adaptor grammar are *not* independent
  - it *learns* from the trees it generates
  - if an adapted subtree has been used frequently in the past, it's more likely to be used again
- but the sequence of trees is *exchangable* (important for sampling)
- An *unadapted nonterminal* A expands using  $A \to \beta$  with probability  $\theta_{A \to \beta}$
- Each adapted nonterminal A is associated with a CRP (or PYP) that caches previously generated subtrees rooted in A
- An *adapted nonterminal A* expands:
  - ► to a subtree T<sub>A</sub> rooted in A with probability proportional to the number of times T<sub>A</sub> was previously generated
  - using  $A \rightarrow \beta$  with probability proportional to  $\alpha_A \theta_{A \rightarrow \beta}$



# Adaptor grammars as non-parametric PCFGs

- An adaptor grammar *reuses whole previously-generated subtrees*  $T_A$  of adapted nonterminals A
- This is equivalent to adding a rule  $A \rightarrow w$  to the grammar, where w is the yield of  $T_A$ 
  - for implementation efficiency, adaptor grammars constrain w to only consist of terminals
  - Fragment Grammars (O'Donnell 2009) lift this restriction
- If the base CFG generates an *infinite number of trees* T<sub>A</sub> for A, then the adaptor grammar is *non-parametric*
- But any set of sample parses for a *finite training corpus* only contains a *finite number of number of adapted subtrees* 
  - ⇒ sampling methods (e.g., MCMC) are a natural approach to learning and parsing adaptor grammars
    - in implementation terms, an adaptor grammar is like a PCFG with a constantly changing set of rules



## Properties of adaptor grammars

- Probability of reusing an adapted subtree  $T_A \propto$  number of times  $T_A$  was previously generated
  - adapted subtrees are not independent
    - an adapted subtree can be *more probable* than the rules used to construct it
  - ▶ but they are exchangable ⇒ efficient sampling algorithms
  - ► "rich get richer" ⇒ Zipf power-law distributions
- Each adapted nonterminal is associated with a *Chinese Restaurant Process* or *Pitman-Yor Process* 
  - CFG rules define base distribution of CRP or PYP
- CRP/PYP parameters (e.g., α<sub>A</sub>) can themselves be estimated (e.g., slice sampling)



# Bayesian hierarchy inverts grammatical hierarchy

- Grammatically, a Word is composed of a Stem and a Suffix, which are composed of Chars
- To generate a new Word from an Adaptor Grammar:
  - reuse an old Word, or
  - generate a fresh one from the base distribution, i.e., generate a Stem and a Suffix



• Lower in the tree  $\Rightarrow$  higher in Bayesian hierarchy



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## Unsupervised word segmentation

- Input: phoneme sequences with *sentence boundaries* (Brent)
- Task: identify word boundaries, and hence words

 $j \_ u \_ w \_ a \_ n \_ t \_ t \_ u \_ s \_ i \_ \delta \_ a \_ b \_ \sigma \_ k$  "you want to see the book"

• Ignoring phonology and morphology, this involves learning the pronunciations of the lexical items in the language



## CFG models of word segmentation

Words  $\rightarrow$  Word Words  $\rightarrow$  Word Words Word  $\rightarrow$  Phons Phons  $\rightarrow$  Phon Phons  $\rightarrow$  Phon Phons Phon  $\rightarrow a \mid b \mid \dots$ 

- CFG trees can *describe* segmentation, but
- PCFGs *can't distinguish* good segmentations from bad ones
  - PCFG rules are too small a unit of generalisation
  - need to learn e.g., probability that buk is a Word





k

#### Towards non-parametric grammars

Words  $\rightarrow$  Word Words  $\rightarrow$  Word Words Word  $\rightarrow$  all possible phoneme sequences

- Learn probability Word  $\rightarrow b \ \sigma \ k$
- But infinitely many possible Word expansions
  ⇒ this grammar is not a PCFG
- Given *fixed training data*, only finitely many useful rules
  ⇒ use data to choose Word rules as well as their probabilities
- An adaptor grammar can do precisely this!



Words

Word

ð a

Words

Word

#### Unigram adaptor grammar (Brent) Words Words $\rightarrow$ Word Word Words Words $\rightarrow$ Word Words Phons Word Word $\rightarrow$ Phons $Phons \rightarrow Phon$ Phon Phons Phons $Phons \rightarrow Phon Phons$ ð Phon Phon Phons h Phon Phons ə Word nonterminal is adapted Phon $\Rightarrow$ To generate a Word: 15 select a previously generated Word subtree k with prob. $\propto$ number of times it has been generated • expand using Word $\rightarrow$ Phons rule with prob. $\propto \alpha_{Word}$ and recursively expand Phons



# Unigram model of word segmentation

- Unigram "bag of words" model (Brent):
  - generate a *dictionary*, i.e., a set of words, where each word is a random sequence of phonemes
    - Bayesian prior prefers smaller dictionaries
  - generate each utterance by choosing each word at random from dictionary
- Brent's unigram model as an adaptor grammar:



 Accuracy of word segmentation learnt: 56% token f-score (same as Brent model)

• But we can construct many more word segmentation models using



Adaptor grammar learnt from Brent corpus

#### • Initial grammar

- $1 \quad \mathsf{Words} \to \underline{\mathsf{Word}} \, \mathsf{Words} \qquad 1 \quad \mathsf{Words} \to \underline{\mathsf{Word}}$
- $1 \quad \underline{\mathsf{Word}} \to \mathsf{Phon}$

1 Phon  $\rightarrow D$ 

- $1 \quad \mathsf{Phons} \to \mathsf{Phon} \; \mathsf{Phons} \qquad 1 \quad \mathsf{Phons} \to \mathsf{Phon}$
- - 1 Phon ightarrow G
- 1 Phon  $\rightarrow A$  1 Phon  $\rightarrow E$

#### • A grammar learnt from Brent corpus

- 16625 Words  $\rightarrow$  Word Words 9791 Words  $\rightarrow$  Word
  - 1575  $\underline{Word} \rightarrow Phons$
  - 4962 Phons  $\rightarrow$  Phon Phons 1575 Phons  $\rightarrow$  Phon
    - 134 Phon  $\rightarrow D$  41 Phon  $\rightarrow G$
    - 180 Phon  $\rightarrow A$  152 Phon  $\rightarrow E$
    - 460 <u>Word</u>  $\rightarrow$  (Phons (Phon y) (Phons (Phon u)))
    - 446 <u>Word</u>  $\rightarrow$  (Phons (Phon *w*) (Phons (Phon *A*) (Phons (Phon *t*)))
    - 374 <u>Word</u>  $\rightarrow$  (Phons (Phon *D*) (Phons (Phon *6*)))
      - 2 Word  $\rightarrow$  (Phons (Phon &) (Phons (Phon n) (Phons (Phon d)))



Undersegmentation errors with Unigram model

 $\mathsf{Words} \to \underline{\mathsf{Word}}^+ \qquad \underline{\mathsf{Word}} \to \mathsf{Phon}^+$ 

- Unigram word segmentation model assumes each word is generated independently
- But there are strong inter-word dependencies (collocations)
- Unigram model can only capture such dependencies by analyzing collocations as words (Goldwater 2006)



#### $\mathsf{Collocations} \Rightarrow \mathsf{Words}$



- A Colloc(ation) consists of one or more words
- Both <u>Words</u> and <u>Collocs</u> are adapted (learnt)
- Significantly improves word segmentation accuracy over unigram model (76% f-score;  $\approx$  Goldwater's bigram model)



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# Two hypotheses about language acquisition

- 1. Pre-programmed staged acquisition of linguistic components
  - ► Conventional view of *lexical acquisition*, e.g., Kuhl (2004)
    - child first learns the phoneme inventory, which it then uses to learn
    - phonotactic cues for word segmentation, which are used to learn
    - phonological forms of words in the lexicon, ...
- 2. Interactive acquisition of all linguistic components together
  - corresponds to *joint inference* for all components of language
  - stages in language acquisition might be due to:
    - child's input may contain more information about some components
    - some components of language may be learnable with less data



# Synergies: an advantage of interactive learning

- An *interactive learner* can take advantage of *synergies in acquisition* 
  - partial knowledge of component A provides information about component B
  - partial knowledge of component B provides information about component A
- A staged learner can only take advantage of one of these dependencies
- An interactive or *joint learner* can benefit from a positive feedback cycle between A and B
- Are there synergies in *learning how to segment words* and *learning the referents of words*?



## Jointly learning words and syllables

 $\begin{array}{lll} \mbox{Sentence} \rightarrow \mbox{Colloc}^+ & \mbox{Colloc} \rightarrow \mbox{Word}^+ \\ \hline \mbox{Word} \rightarrow \mbox{Syllable}^{\{1:3\}} & \mbox{Syllable} \rightarrow \mbox{(Onset)} \mbox{ Rhyme} \\ \hline \mbox{Onset} \rightarrow \mbox{Consonant}^+ & \mbox{Rhyme} \rightarrow \mbox{Nucleus} \mbox{(Coda)} \\ \hline \mbox{Nucleus} \rightarrow \mbox{Vowel}^+ & \mbox{Coda} \rightarrow \mbox{Consonant}^+ \end{array}$ 



• Rudimentary syllable model (an improved model might do better)

• With 2 Collocation levels, f-score = 84%



# Distinguishing internal onsets/codas helps

 $\begin{array}{l} \mathsf{Sentence} \to \mathsf{Colloc}^+ \\ \underline{\mathsf{Word}} \to \mathsf{SyllableIF} \\ \underline{\mathsf{Word}} \to \mathsf{SyllableI} \ \mathsf{SyllableSyllableF} \\ \underline{\mathsf{Onsetl}} \to \mathsf{Consonant}^+ \\ \underline{\mathsf{Nucleus}} \to \mathsf{Vowel}^+ \end{array}$ 

 $\begin{array}{l} \underline{Colloc} \rightarrow \mathsf{Word}^+ \\ \underline{\mathsf{Word}} \rightarrow \mathsf{Syllablel} \ \mathsf{SyllableF} \\ \mathsf{SyllableIF} \rightarrow \mathsf{(OnsetI)} \ \mathsf{RhymeF} \\ \mathsf{RhymeF} \rightarrow \mathsf{Nucleus} \ \mathsf{(CodaF)} \\ \underline{\mathsf{CodaF}} \rightarrow \mathsf{Consonant}^+ \end{array}$ 



- With 2 Collocation levels, not distinguishing initial/final clusters, f-score = 84%
- With 3 <u>Colloc</u>ation levels, distinguishing initial/final clusters, f-score = 87%
# $\mathsf{Collocations}^2 \Rightarrow \mathsf{Words} \Rightarrow \mathsf{Syllables}$





# Summary of English word segmentation

• Word segmentation accuracy depends on the kinds of generalisations learnt.

Generalization	Accuracy
words as units (unigram)	56%
+ associations between words (collocations)	76%
+ syllable structure	84%
+ interaction between	
segmentation and syllable structure	87%

- Synergies in learning words and syllable structure
  - joint inference permits the learner to *explain away* potentially misleading generalizations



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# The Sesotho corpus

- Sesotho is a Bantu language spoken in southern Africa
- Orthography is (roughly) phonemic
  - $\Rightarrow$  use orthographic forms as broad phonemic representations
- Rich agglutinative morphology (especially in verbs)

u- e- nk- il- e kae SM-OM-take-PERF-IN where "You took it from where?"

- The Demuth Sesotho corpus (1992) contains transcripts of child and child-directed speech
- We used a subset of size roughly comparable to Brent corpus of infant-directed speech

	Brent	Demuth
utterances	9,790	8,503
word tokens	33,399	30,200
phonemes	95,809	100,113



Sesotho verbs are morphologically complex

u- e- nk- il- e kae SM-OM-take-PERF-IN where "You took it from where?"

Input:

$$u{\scriptstyle\scriptscriptstyle \vartriangle} e{\scriptstyle\scriptscriptstyle \vartriangle} n{\scriptstyle\scriptscriptstyle \vartriangle} k{\scriptstyle\scriptscriptstyle \backsim} i{\scriptstyle\scriptscriptstyle \circlearrowright} l{\scriptstyle\scriptscriptstyle \circlearrowright} e{\scriptstyle\scriptscriptstyle \backsim} k{\scriptstyle\scriptscriptstyle \backsim} a{\scriptstyle\scriptscriptstyle \circlearrowright} e$$

• What I'd like to be able to learn eventually:





Unigram segmentation grammar - word

u- e- nk- il- e kae SM-OM-take-PERF-IN where "You took it from where?"

 $\frac{\text{Sentence} \rightarrow \text{Word}^+}{\underline{\text{Word}} \rightarrow \text{Phon}^+}$ 



- $\bullet$  The word grammar has a word segmentation f-score of 43%
- $\bullet$  Lower than 56% f-score on the Brent corpus.
- Sesotho words are longer and more complex.



### Collocation grammar – colloc

 $\begin{array}{l} \mathsf{Sentence} \to \mathsf{Colloc}^+ \\ \underline{\mathsf{Colloc}} \to \mathsf{Word}^+ \\ \underline{\mathsf{Word}} \to \mathsf{Phon}^+ \end{array}$ 



- Learning Collocations improves word segmentation in English; will it help in Sesotho?
- If we treat lower-level units as Words, f-score = 27%
- If we treat upper-level units as Words, f-score =48%
- English improves by learning dependencies above words, but Sesotho improves by learning generalizations below words



Adding more levels – colloc2

u- e- nk- il- e kae SM-OM-take-PERF-IN where "You took it from where?"

- If two levels are good, maybe three would be better?
- Word segmentation f-score drops to 47%
- Doesn't seem to be much value in adding dependencies above Word level in Sesotho



Using syllable structure – word-syll

u- e- nk- il- e kae SM-OM-take-PERF-IN where "You took it from where?"

 $\begin{array}{l} \mathsf{Sentence} \to \mathsf{Word}^+ \\ \underline{\mathsf{Word}} \to \mathsf{Syll}^+ \\ \underline{\mathsf{Syll}} \to (\mathsf{Onset}) \, \mathsf{Nuc} \, (\mathsf{Coda}) \\ \underline{\mathsf{Syll}} \to \mathsf{SC} \\ \overline{\mathsf{Onset}} \to \mathsf{C}^+ \\ \mathsf{Nuc} \to \mathsf{V}^+ \\ \mathsf{Coda} \to \mathsf{C}^+ \end{array}$ 



- SC (syllablic consonants) are 'l', 'm' 'n' and 'r'
- Word segmentation f-score = 50%
- Assuming that words are composed of syllables does improve

Sesotho word segmentation

### Using syllable structure – colloc-syll

u- e- nk- il- e kae SM-OM-take-PERF-IN where "You took it from where?"

 $\begin{array}{l} \mathsf{Sentence} \to \mathsf{Colloc}^+ \\ \underline{\mathsf{Colloc}} \to \mathsf{Word}^+ \\ \underline{\mathsf{Syll}} \to (\mathsf{Onset}) \, \mathsf{Nuc} \, (\mathsf{Coda}) \\ \underline{\mathsf{Syll}} \to \mathsf{SC} \\ \overline{\mathsf{Onset}} \to \mathsf{C}^+ \\ \mathsf{Nuc} \to \mathsf{V}^+ \\ \mathsf{Coda} \to \mathsf{C}^+ \end{array}$ 



- Word segmentation f-score = 48%
- Additional collocation level doesn't help



Morpheme positions – word-morph

u- e- nk- il- e kae SM-OM-take-PERF-IN where "You took it from where?"

> Sentence  $\rightarrow$  Word<sup>+</sup> <u>Word</u>  $\rightarrow$  T1 (T2 (T3 (T4 (T5)))) <u>T1</u>  $\rightarrow$  Phon<sup>+</sup> <u>T2</u>  $\rightarrow$  Phon<sup>+</sup> <u>T3</u>  $\rightarrow$  Phon<sup>+</sup> <u>T4</u>  $\rightarrow$  Phon<sup>+</sup> T5  $\rightarrow$  Phon<sup>+</sup>



• Each word consists of 1–5 morphemes

MACOUARIE

- Learn separate morphemes for each position
- Improves word segmentation f-score to 53%

# Building in language-specific information – word-smorph

u-e-nk-il-e kae SM-OM-take-PERF-IN where "You took it from where?" Sentence  $\rightarrow$  Word<sup>+</sup> Word  $\rightarrow$  (P1 (P2 (P3))) T (S)  $P1 \rightarrow Phon^+$  $P2 \rightarrow Phon^+$  $P3 \rightarrow Phon^+$  $T \rightarrow Phon^+$  $S \rightarrow Phon^+$ 



- In Sesotho many words consist of a stem <u>T</u>, an optional suffix <u>S</u> and up to 3 prefixes <u>P1, P2</u> and <u>P3</u>
- Achieves highest f-score = 56%



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### Prior work: mapping words to referents



- Input to learner:
  - word sequence: Is that the pig?
  - objects in nonlinguistic context: DOG, PIG
- Learning objectives:
  - identify utterance topic: PIG
  - ▶ identify word-topic mapping: pig → PIG



# Frank et al (2009) "topic models" as PCFGs

- Prefix sentences with *possible topic marker*, e.g., PIG|DOG
- PCFG rules *choose a topic* from topic marker and *propagate it through sentence*
- Each word is either generated from sentence topic or null topic Ø



- Grammar can require at most one topical word per sentence
- Bayesian inference for PCFG rules and trees corresponds to Bayesian inference for word and sentence topics using topic model (Johnson 2010)



### Word segmentation with adaptor grammars

- Adaptor grammars (AGs) can learn the probability of entire subtrees (as well as rules)
- AGs can express several different word segmentation models
- Learning collocations as well as words significantly improves segmentation accuracy

```
\begin{array}{l} \text{Sentence} \rightarrow \underline{\text{Colloc}}^+ \\ \underline{\text{Colloc}} \rightarrow \underline{\text{Word}}^+ \\ \underline{\text{Word}} \rightarrow \text{Phon}^+ \end{array}
```





# AGs for joint segmentation and referent-mapping

- Combine topic-model PCFG with word segmentation AGs
- Input consists of unsegmented phonemic forms prefixed with possible topics:

PIG DOG IZ ðæt ð p Ig





### Collocation topic model AG



- Collocations are either "topical" or not
- Easy to modify this grammar so
  - at most one topical word per sentence, or
  - at most one topical word per topical collocation



### Experimental set-up

• Input consists of unsegmented phonemic forms prefixed with possible topics:

PIG DOG I z ð æ t ð ə p I g

- Child-directed speech corpus collected by Fernald et al (1993)
- Objects in visual context annotated by Frank et al (2009)
- Bayesian inference for AGs using MCMC (Johnson et al 2009)
  - Uniform prior on PYP *a* parameter
  - ▶ "Sparse" Gamma(100, 0.01) on PYP b parameter
- For each grammar we ran 8 MCMC chains for 5,000 iterations
  - collected word segmentation and topic assignments at every 10th iteration during last 2,500 iterations
    - $\Rightarrow$  2,000 sample analyses per sentence
  - computed and evaluated the modal (i.e., most frequent) sample analysis of each sentence



### Does non-linguistic context help segmentation?

Model		word segmentation
segmentation	topics	token f-score
unigram	not used	0.533
unigram	any number	0.537
unigram	one per sentence	0.547
collocation	not used	0.695
collocation	any number	0.726
collocation	one per sentence	0.719
collocation	one per collocation	0.750

- Not much improvement with unigram model
  - consistent with results from Jones et al (2010)
- Larger improvement with collocation model
  - most gain with one topical word per topical collocation (this constraint cannot be imposed on unigram model)



Does better segmentation help topic identification?

• Task: identify object (if any) *this sentence* is about

Model		sentence referent	
segmentation	topics	accuracy	f-score
unigram	not used	0.709	0
unigram	any number	0.702	0.355
unigram	one per sentence	0.503	0.495
collocation	not used	0.709	0
collocation	any number	0.728	0.280
collocation	one per sentence	0.440	0.493
collocation	one per collocation	0.839	0.747

• The collocation grammar with *one topical word per topical collocation* is the only model clearly better than baseline



# Does better segmentation help learning word-to-referent mappings?

 Task: identify *head nouns* of NPs referring to topical objects (e.g. pIg → PIG in input PIG | DOG I Z ð æ t ð ⇒ p I g)

Model		topical word
segmentation	topics	f-score
unigram	not used	0
unigram	any number	0.149
unigram	one per sentence	0.147
collocation	not used	0
collocation	any number	0.220
collocation	one per sentence	0.321
collocation	one per collocation	0.636

 The collocation grammar with one topical word per topical collocation is best at identifying head nouns of referring NPs



# Summary of segmentation and word-to-referent mappings

- Word to object mapping is learnt more accurately when words are segmented more accurately
  - improving segmentation accuracy improves topic detection and acquisition of topical words
- Word segmentation accuracy improves when exploiting non-linguistic context information
  - incorporating word-topic mapping improves segmentation accuracy (at least with collocation grammars)
- ⇒ There are synergies a learner can exploit when learning word segmentation and word-object mappings
  - Caveat: results seem to depend on details of model
  - Models limited by ability to simulate "feature-passing" in a PCFG



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# LDA topic models

- LDA topic models are *admixture models* of documents
  - topics are assigned to words (not sentences or documents)
- An LDA topic model learns:
  - the topics expressed in a document
  - the words characteristic of a topic
- Each topic *i* is a distribution over words  $\phi_i$
- Each document j has a *distribution*  $\theta_j$  over topics
- To generate document *j*:
  - for each word position in document:
    - choose a topic z according to  $\theta_i$ , and then
    - choose a word belonging to that topic according to  $\phi_z$
- "Sparse priors" on  $\phi$  and heta
  - $\Rightarrow$  most documents have few topics
  - $\Rightarrow$  most topics have few words



### LDA topic models as Bayes nets

$$\begin{array}{lll} \phi_i & \sim & \mathrm{Dir}(\beta) & i = 1, \ldots, \ell = \text{number of topics} \\ \theta_j & \sim & \mathrm{Dir}(\alpha) & j = 1, \ldots, m = \text{number of documents} \\ z_{j,k} & \sim & \theta_j & j = 1, \ldots, m \\ & & & k = 1, \ldots, n = \text{number of words in a document} \\ w_{j,k} & \sim & \phi_{z_{j,k}} & j = 1, \ldots, m \\ & & & k = 1, \ldots, n \end{array}$$





# LDA topic models as PCFGs (1)

• Prefix strings from document *j* with a *document identifier* "\_*i*"



# LDA topic models as PCFGs (2)

• Spine propagates document id up through tree





# LDA topic models as PCFGs (3)

•  $Doc_i \rightarrow Topic_i$  rules map *documents to topics* 





# LDA topic models as PCFGs (4)

• Topic<sub>i</sub>  $\rightarrow$  w rules map topics to words



### Topic model with collocations

• Combines PCFG topic model and segmentation adaptor grammar





# Finding topical collocations in NIPS abstracts

- Run topical collocation adaptor grammar on NIPS corpus
- Run with  $\ell = 20$  topics (i.e., 20 distinct Topic<sub>i</sub> nonterminals)
- Corpus is segmented by punctuation
  - terminal strings are fairly short
  - $\Rightarrow$  inference is fairly efficient
- Used standard AG implementation
  - Pitman-Yor adaptors
  - sampled Pitman-Yor a and b parameters
  - ▶ flat and "vague Gamma" priors on Pitman-Yor *a* and *b* parameters



# Sample output on NIPS corpus, 20 topics

- Multiword subtrees learned by adaptor grammar:
  - $T\_0 \rightarrow gradient \ descent$
  - $T\_0 \to cost \ function$
  - $T\_0 \rightarrow fixed \ point$
  - $T\_0 \rightarrow learning \ rates$
  - $T\_3 \rightarrow \text{membrane potential}$
  - $T\_3 \rightarrow action \ potentials$
  - $T\_3 \rightarrow visual \; system$
  - $T_3 \rightarrow$  primary visual cortex
- Sample skeletal parses:

- $\mathsf{T}\_1 \to \mathsf{associative\ memory}$
- $T\_1 \rightarrow \text{standard deviation}$
- $T\_1 \rightarrow randomly \ chosen$
- $T\_1 \rightarrow hamming \ distance$
- $T_{-10} \rightarrow$  ocular dominance
  - $T\_10 \rightarrow visual \ field$
  - $T\_10 \rightarrow nervous \; system$
  - $T\_10 \rightarrow action \ potential$

\_3 (T\_5 polynomial size) (T\_15 threshold circuits)
\_4 (T\_11 studied) (T\_19 pattern recognition algorithms)
\_4 (T\_2 feedforward neural network) (T\_1 implements)
\_5 (T\_11 single) (T\_10 ocular dominance stripe) (T\_12 low)
(T\_3 ocularity) (T\_12 drift rate)



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### What do we have to learn?

- To learn an adaptor grammar, we need:
  - probabilities of grammar rules
  - adapted subtrees and their probabilities for adapted non-terminals
- If we knew the true parse trees for a training corpus, we could:
  - read off the adapted subtrees from the corpus
  - count rules and adapted subtrees in corpus
  - compute the rule and subtree probabilities from these counts
    - simple computation (smoothed relative frequencies)
- If we aren't given the parse trees:
  - there can be *infinitely many* possible adapted subtrees
  - $\Rightarrow$  can't track the probability of all of them (as in EM)
    - but sample parses of a finite corpus only include finitely many
- Sampling-based methods learn the relevant subtrees as well as their weights



# A Gibbs sampler for learning adaptor grammars

- Gibbs sampling for learning adaptor grammars
  - Assign (random) parse trees to each sentence, and compute rule and subtree counts
  - Repeat forever:
    - pick a sentence (and corresponding parse) at random
    - deduct the counts for the sentence's parse from current rule and subtree counts
    - sample a parse for sentence according to updated grammar
    - add sampled parse's counts to rule and subtree counts
- Sampled parse trees and grammar converges to Bayesian posterior distribution


# Sampling parses from an adaptor grammar

- Sampling a parse tree for a sentence is computationally most demanding part of learning algorithm
- Component-wise Metropolis-within-Gibbs sampler for parse trees:
  - adaptor grammar rules and probabilities change on the fly
  - construct PCFG proposal grammar from adaptor grammar for previous sentences
  - sample a parse from PCFG proposal grammar
  - use accept/reject to convert samples from proposal PCFG to samples from adaptor grammar
- For particular adaptor grammars, there are often more efficient algorithms



# Details about sampling parses

- Adaptor grammars are not context-free
- The probability of a rule (and a subtree) can change within a single sentence
  - breaks standard dynamic programming



- But with moderate or large corpora, the probabilities don't change by much
  - use Metropolis-Hastings accept/reject with a PCFG proposal distribution
- Rules of PCFG proposal grammar  $G'(\mathbf{t}_{-j})$  consist of:
  - rules  $A \rightarrow \beta$  from base PCFG:  $\theta'_{A \rightarrow \beta} \propto \alpha_A \theta_{A \rightarrow \beta}$
  - A rule  $A \rightarrow \text{YIELD}(t)$  for each table t in A's restaurant:  $\theta'_{A \rightarrow \text{YIELD}(t)} \propto n_t$ , the number of customers at table t

• Map parses using  $G'(\mathbf{t}_{-j})$  back to adaptor grammar parses



### Random vs incremental initialization

- The Gibbs sampler parse trees t needs to be initialized somehow Random initialization: Assign each string x<sub>i</sub> a random parse t<sub>i</sub> generated by base PCFG Incremental initialization: Sample t<sub>i</sub> from P(t | x<sub>i</sub>, t<sub>1:i-1</sub>)
- Incremental initialization is easy to implement in a Gibbs sampler
- Incremental initialization improves token f-score in all models, especially on simple models

Model	Random	Incremental
unigram	56%	81%
colloc	76%	86%
colloc-syll	87%	89%

but see caveats on next slide!



Incremental initialization produces low-probability parses



Why incremental initialization produces low-probability parses

- Incremental initialization produces sample parses t with lower probability  $\mathrm{P}(t \mid \textbf{x})$
- Possible explanation: (Goldwater's 2006 analysis of Brent's model)
  - All the models tend to *undersegment* (i.e., find collocations instead of words)
  - Incremental initialization greedily searches for common substrings
  - Shorter strings are more likely to be recurr early than longer ones



# Table label resampling

- Each adapted non-terminal has a CRP with tables labelled with parses
- "Rich get richer"  $\Rightarrow$  resampling a sentence's parse reuses the same cached subtrees
- Resample table labels as well sentence parses
  - A table label may be used in many sentence parses
  - $\Rightarrow\,$  Resampling a single table label may change the parses of a single sentence
  - ⇒ table label resampling can improve mobility with grammars with a hierarchy of adapted non-terminals
- Essential for grammars with a complex hierarchical structure



#### Table label resampling example

Label on table in Chinese Restaurant for colloc





Resulting changes in parse trees



Table label resampling produces much higher-probability parses



## Summary: learning adaptor grammars

- Unbounded number of possible cached subtrees ⇒ Expectation Maximisation isn't sufficient
- Gibbs sampler batch learning algorithm
  - assign every sentence a (random) parse
  - repeatedly cycle through training sentences:
    - withdraw parse (decrement counts) for sentence
    - sample parse for current sentence and update counts
    - Metropolis-Hastings correction



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# Conclusions and future work

- Adaptor grammars can express a variety of useful HDP models
  - generic AG inference code makes it easy to explore a variety of models
- AGs have a variety of applications
  - unsupervised acquisition of morphology
  - unsupervised word segmentation
  - learning word to referent mappings
  - learning collocations in topic models
- *Joint learning* often uses information in the input more effectively than staged learning
- Future work:
  - extend expressive power of AGs (e.g., feature-passing)
  - richer data (e.g., more non-linguistic context)
  - more realistic data (e.g., stress, phonological variation)



The future of Bayesian models of language acquisition



- So far our grammars and priors don't encode much linguistic knowledge, but in principle they can!
  - how do we represent this knowledge?
  - how can we learn efficiently using this knowledge?
- Should permit us to *empirically investigate effects of specific universals on the course of language acquisition*
- My guess: the interaction between innate knowledge and learning will be *richer and more interesting* than either the rationalists or empiricists currently imagine!



#### Interested in statistical models, machine learning and computational linguistics?

Macquarie University is recruiting PhD students and post-docs!

Contact Mark.Johnson@mq.edu.au for more information.





#### Context-free grammars

A context-free grammar (CFG) consists of:

- a finite set N of *nonterminals*,
- a finite set W of *terminals* disjoint from N,
- a finite set R of *rules*  $A \rightarrow \beta$ , where  $A \in N$  and  $\beta \in (N \cup W)^*$
- a start symbol  $S \in N$ .

Each  $A \in N \cup W$  generates a set  $\mathcal{T}_A$  of trees.

These are the smallest sets satisfying:

- If  $A \in W$  then  $\mathcal{T}_A = \{A\}$ .
- If  $A \in N$  then:

$$\mathcal{T}_A = \bigcup_{A \to B_1 \dots B_n \in R_A} \operatorname{TREE}_A(\mathcal{T}_{B_1}, \dots, \mathcal{T}_{B_n})$$

where  $R_A = \{A \rightarrow \beta : A \rightarrow \beta \in R\}$ , and

$$\mathrm{TREE}_{A}(\mathcal{T}_{B_{1}},\ldots,\mathcal{T}_{B_{n}}) = \left\{ \begin{matrix} A & : t_{i} \in \mathcal{T}_{B_{i}}, \\ \overbrace{t_{1} \ \ldots \ t_{n}} & : i = 1,\ldots,n \end{matrix} \right\}$$

The set  $\mathcal{W}$  trees generated by a CFG is  $\mathcal{T}_S$ .

# Probabilistic context-free grammars

A *probabilistic context-free grammar* (PCFG) is a CFG and a vector  $\theta$ , where:

- $\theta_{A \to \beta}$  is the probability of expanding the nonterminal A using the production  $A \to \beta$ .
- It defines distributions  $G_A$  over trees  $\mathcal{T}_A$  for  $A \in \mathbb{N} \cup \mathbb{W}$ :

$$G_A = \begin{cases} \delta_A & \text{if } A \in W \\ \sum_{A \to B_1 \dots B_n \in R_A} \theta_{A \to B_1 \dots B_n} TD_A(G_{B_1}, \dots, G_{B_n}) & \text{if } A \in N \end{cases}$$

where  $\delta_A$  puts all its mass onto the singleton tree A, and:

$$\operatorname{TD}_{A}(G_{1},\ldots,G_{n})\left( \overbrace{t_{1}\ldots t_{n}}^{A} \right) = \prod_{i=1}^{n} G_{i}(t_{i}).$$

 $TD_A(G_1, \ldots, G_n)$  is a distribution over  $\mathcal{T}_A$  where each subtree  $t_i$  is generated independently from  $G_i$ .



# DP adaptor grammars

An adaptor grammar  $(G, \theta, \alpha)$  is a PCFG  $(G, \theta)$  together with a parameter vector  $\alpha$  where for each  $A \in N$ ,  $\alpha_A$  is the parameter of the Dirichlet process associated with A.

$$\begin{aligned} G_A &\sim & \mathrm{DP}(\alpha_A, H_A) & \text{if } \alpha_A > 0 \\ &= & H_A & \text{if } \alpha_A = 0 \end{aligned}$$

$$H_{A} = \sum_{A \to B_1 \dots B_n \in R_A} \theta_{A \to B_1 \dots B_n} TD_A(G_{B_1}, \dots, G_{B_n})$$

The grammar generates the distribution  $G_S$ .

One Dirichlet Process for each adapted non-terminal A (i.e.,  $\alpha_A > 0$ ).

